

PATENT
040150**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Houghton et al.)
Serial No.:) **Examiner:**
Filing Date:)
)
) **Art Unit:**

Title: TRACKING ACCOUNT STRUCTURE TO SUPPORT DIFFENT LEVERAGE
LEVELS WITHIN AN INVESTMENT FUND

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants, in accordance with their duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the reference(s) listed on the accompanying form PTO/SB/08. A copy of each reference is enclosed.

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Respectfully submitted,

Date: March 26, 2003


Mark G. Kneidisen
Reg. No. 42,747

KIRKPATRICK & LOCKHART LLP
Henry W. Oliver Building
535 Smithfield Street
Pittsburgh, PA 15222

Ph. (412) 355-6342
Fax (412) 355-6501

PTO/SB/08A (10-01)

Approved for use through 10/31/2002, OMB 0651-0031

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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
(use as many sheets as necessary)			
Sheet	1	of	1
		Attorney Docket Number	
		040150	

OTHER PRIOR ART = NON PATENT LITERATURE DOCUMENTS

Examiner Signature /Alan Miller/ (03/31/2008) Date Considered 03/31/2008

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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